



THE SOCIETY OF AUDITORS (Regd)

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A Virtual Seminar on Companies Act Compliance ~ Statutory Audit

Over 6 Days – daily 2 hours

Speakers

Dr. CS B Ravi CA Sriraam Alevoor
CS. B Chandra CA Sri Vignesh
CS C Anuradha

Virtual Meeting Link



When conducting a statutory audit, meticulous checking of compliance to the various provisions of the Companies Act is paramount for the ultimate reporting process. This is because of several reasons :

- Certain non-compliances have a direct effect on the reporting of “true & fair” nature of the financial statements. e.g. Sch iii / CSR / Managerial Remuneration compliance
- Certain non-compliances result in certain transactions becoming null & void and thereby have an effect on the financial reporting / financial statements. e.g. transactions beyond the powers of the board or authorised in a board meeting that is subsequently deemed not valid
- Whether a Company is a Small~Medium Company or otherwise has a bearing on many compliances including financial reporting e.g. CARO / IFC reporting etc
- There are many matters which are mandatory once a specific threshold limit is breached e.g. audit committee requirement; independent secretarial report ; which, if not done, can result in material non-compliance leading to penalties, which, if left unprovided result in qualified audit reports
- Auditors have a governance element inbuilt e.g. in cases of NOCLAR wherein they are duty bound to report on any serious non-compliances observed. Apart from this, the Company audits rests on the bed rock of the “legal” framework of Companies Act compliance only - apart from the accounting framework requirements in reporting.

It is therefore important that CA Firms build “Compliance Champions” thereby reducing the risk of undetected non-compliance matters. Towards this, The Society of Auditors has curated a six-day virtual training programme which will also be useful to audit team members as well as corporate finance executives and those in corporate compliance roles. The brochure is appended below and we recommend that you register your team members for this useful training session soon.

Key Objectives

- **Knowledge Update from Veteran Professionals** - Gain practical insights and best practices from seasoned practitioners who have navigated complex compliance scenarios firsthand.
- **Strengthen Audit Methodology** - Learn systematic approaches to verify Public Deposits, Director Loans, and Corporate Investments, ensuring audit procedures align with statutory requirements.
- **Address Governance-Level Concerns** - Develop the expertise to handle topics that are routinely flagged by Audit Committees and Boards, such as CSR spending, managerial remuneration limits, and mandatory MCA filings.
- **Create an In-House Compliance Champion** - By attending this workshop, firms will nurture a dedicated resource capable of guiding the broader team on Companies Act checks—ultimately elevating the overall quality and reliability of statutory audits.

Target Audience

This programme is designed for audit professionals, including:

- Engagement Partners
- Audit Managers
- Senior Auditors
- Trainees and Paid Assistants
- Internal Auditors
- Risk Management and Compliance Professionals

Why Auditors Need to Be Aware

- **Fundamental to Statutory Audit** - Compliance with Companies Act provisions is non-negotiable in every statutory audit. A clear grasp of Sections 76, 185, and 186 ensures accurate verification of a company’s deposit and loan transactions, preventing potential financial misstatements and regulatory penalties.
- **High-Impact Governance Topics** - The subjects covered here—Secretarial Standards, Managerial Remuneration, CSR obligations, and MCA filing requirements—regularly feature in governance-level discussions. Audit Committees and Boards rely on audit teams to confirm that policies and disclosures align with legal mandates. Missing even a minor detail can lead to reputational risk, financial fines, or adverse observations.
- **Mitigating Regulatory and Financial Risk** - An auditor’s ability to compute profits correctly under Section 198, review share issuance matters, and assess CSR compliance directly impacts a company’s financial statements and public filings. Similarly, understanding MCA penalties and requisite statutory records safeguards both the auditor and the client from non-compliance repercussions.
- **Developing a Trained Professional Resource** - Establishing “absolute compliance or otherwise” requires having a technically proficient auditor on your team. This workshop’s objective is to fortify your audit squad’s capabilities so that every compliance check is thorough, accurate, and defensible.



SCHEDULE OF EVENTS

Virtual Meeting will be held as per the following Schedule:

Date	Time	Topics	Speaker
23 rd June 2025 - Monday	4 – 6 pm	Public Deposits; Loans to Directors etc.; Loans & Investment by Company (Sec 76, 185 & 186)	CS B Chandra
24 th June 2025 - Tuesday	4 – 6 pm	Overview of Secretarial Standards & its importance to Statutory Audit	Dr. CS B Ravi
25 th June 2025 - Wednesday	4 – 6 pm	Computation of Profits u/s 198; Managerial Remuneration; Matters relating to Issue of Shares	CS C Anuradha
26 th June 2025 - Thursday	4 – 6 pm	Corporate Social Responsibility	CA Sriraam M Alexoor
27 th June 2025 - Friday	4 – 6 pm	Returns to be filed at MCA; Applicability criteria of various matters	CA Sri Vignesh
28 th June 2025 - Saturday	10 am – 12 noon	Statutory Records to be maintained by a Company; Overview on MCA Penalties on various matters	CA Sri Vignesh

Please Note:

A welcome email will be sent to all registered delegates on Friday, 20th June, by 1 pm – which will enable the Delegate to generate the Zoom link. The same Zoom link is to be retained to join on ALL the days. You may please check your SPAM folder as well.

In case the welcome email is not received in your inbox by June 20th afternoon, please contact the Co-ordinators for assistance. Thank you.

Speaker Profile

Our speaker panel combines decades of government, industry, academic, and professional-practice experience in corporate law, statutory audit, and governance. One senior member spent over 17 years in the Indian Company Law Service at the Ministry of Corporate Affairs, contributing to the drafting of foundational company-law legislation and early e-governance initiatives. Several presenters hold dual qualifications as Cost Accountants and Company Secretaries: one brings more than 18 years of corporate-client advisory experience from a public-sector bank, while others have extensive backgrounds in private practice and in-house roles across industries such as manufacturing, petroleum refining, and retail.

Academically, our speakers include an MBA graduate with a diploma in computer programming who serves as a Fellow of the Institute of Company Secretaries of India and teaches at premier institutions (ICAI, RBI Staff College, Madras University, and various coaching centers). That same individual has chaired multiple expert committees on company law, served on boards of studies, authored articles for professional journals, and advised leading corporations on secretarial audits and corporate restructuring.

In private practice, our panelists occupy partner-level roles at reputable firms, leading audit, assurance, and risk-consulting practices in major metros, and collectively managing branch operations, internal- and statutory-audit engagements, SOX and IFC reviews, and direct/indirect tax advisory services. Taken together, the group's blend of legislative, regulatory, teaching, and hands-on audit experience ensures a well-rounded, pragmatic perspective on Companies Act compliance and governance matters.



Registration Process

Step 1: Make the payment through NEFT / IMPS or Direct Deposit

In favour of:

The Society of Auditors

Savings Bank Account Number: 006001000038406

Indian Overseas Bank,

Mylapore Branch

IFSC Code: IOBA0000060.

Registration Fees

INR 590 per delegate
(Incl. of GST)

Step 2: Save the acknowledgment/ proof of payment

Step 3: Click on the link and fill in the details: <https://forms.gle/XpSf4WyeMnhgYaZf8>

For Group Registrations, single payment can be made and list of delegates shall be uploaded in the Google Form.

Program Co-ordinators

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